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## Pattern Recognition

journal homepage: [www.elsevier.com/locate/pr](http://www.elsevier.com/locate/pr)Lyndon + Christoffel = digitally convex<sup>☆</sup>S. Brlek<sup>a</sup>, J.-O. Lachaud<sup>b</sup>, X. Provençal<sup>b,c,\*</sup>, C. Reutenauer<sup>a</sup><sup>a</sup>LaCIM, Université du Québec à Montréal, C.P. 8888 Succursale "Centre-Ville", Montréal (QC), Canada H3C 3P8<sup>b</sup>Laboratoire de Mathématiques, UMR 5127 CNRS, Université de Savoie, 73376 Le Bourget du Lac, France<sup>c</sup>LIRMM, UMR 5506 CNRS, Université Montpellier II, 34392 Montpellier, France

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## ABSTRACT

Discrete geometry redefines notions borrowed from Euclidean geometry creating a need for new algorithmic tools. The notion of convexity does not translate trivially, and detecting if a discrete region of the plane is convex requires a deeper analysis. To the many different approaches of digital convexity, we propose the combinatorics on words point of view, unnoticed until recently in the pattern recognition community. In this paper, we provide first a fast optimal algorithm checking digital convexity of polyominoes coded by their contour word. The result is based on linear time algorithms for both computing the Lyndon factorization of the contour word and the recognition of Christoffel factors that are approximations of digital lines. By avoiding arithmetical computations the algorithm is much simpler to implement and much faster in practice. We also consider the convex hull computation and relate previous work in combinatorics on words with the classical Melkman algorithm.

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## 1. Introduction

In Euclidean geometry, a given region  $R$  is said to be *convex* if and only if for any pair of points  $p_1, p_2$  in  $R$  the line segment joining  $p_1$  to  $p_2$  is completely included in  $R$ . In discrete geometry on square grids, the notion does not translate trivially, since the only convex (in Euclidean sense) regions are isolated points when pixels are considered as points in the plane, or rectangles when pixels are seen as unit squares. Many attempts have been made to fill the gap, and a first definition of discrete convexity based on discretization of continuous object came from Sklansky [1] and Minsky and Papert [2]: it is not convenient since, in some cases, sets of arbitrary distant points are considered digitally convex (see Fig. 1).

Later, Kim [3,4] then Kim and Rosenfeld [5] provided several equivalent characterizations of discrete convex sets, and finally Chaudhuri and Rosenfeld [6] proposed a new definition of digital convexity based this time on the notion of digital line segments (see [7] for a review of digital straightness). Given a finite subset  $S$  of  $\mathbb{Z}^2$  its *convex hull* is defined as the intersection of all Euclidean convex sets containing  $S$ . Of course all the vertices of the convex hull are points from  $S$ . Therefore, throughout this work, a polyomino  $P$  (which is the interior of a closed non-intersecting grid path of  $\mathbb{Z}^2$ )

is called *convex* if and only if its convex hull contains no integer point outside  $P$ . This definition of convexity is called *H-convexity* by Eckhardt in his review on digital convexity [8]. Since we only consider polyominoes which are 4-connected subsets of  $\mathbb{Z}^2$ , these objects are considered as convex under most common definitions of digital convexity (see for instance Eckardt for a precise statement of several equivalences). Debled-Rennesson et al. [9] already provided an algorithm deciding if a given polyomino is convex. Their method uses arithmetical tools to compute the sequence of maximal segments along the polyomino. Digital convexity is then achieved if and only if the induced sequence of slopes is monotonous. From this observation, a linear—and thus optimal—time algorithm is obtained using optimal time methods for computing the tangential cover of a digital contour, which relies on a moving digital straight line recognition algorithm [10,11]. It is worthy to note that digital convex sets and hulls have specific properties that are not shared by their Euclidean counterpart. For instance, Hübler et al. [12] (or easiest to find [13]) have shown that the convex hull of any 4-connected set of discrete points can be computed in linear time. Even more surprising, the digital convex hull of an implicitly defined Euclidean convex body can be computed in sublinear time  $O(d^{2/3} \log d)$ , where  $d$  is the diameter of the set [14].

In their survey on digital straightness, Klette and Rosenfeld [7] mention that

Related work even earlier on the theory of words, specifically, on mechanical or Sturmian words, remained unnoticed in the pattern recognition community.

Indeed, the study of words goes back to Bernoulli, Markov, Thue and Morse (see Lothaire's books [15–17] for an exhaustive bibliographic

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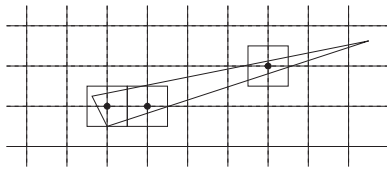


Fig. 1. The discretization of a continuous object resulting in a non-connected set.

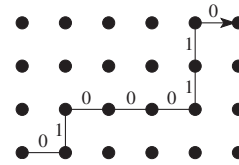


Fig. 2. Path encoded by the word  $w = 01000110$ .

account) and suggest to build a bridge between discrete geometry and combinatorics on words which will benefit to both areas. This approach gave an elementary proof and a generalization [18] of a result of Daurat and Nivat [19] relating salient and reentrant points in discrete sets. It also provided an optimal algorithm for recognizing tiles that tile the plane by translation [20,21].

Here we study the problem of deciding whether a polyomino coded by its contour word, also called Freeman chain code, is convex or not. To achieve this we use well known tools of combinatorics on words. The first is the existence of a unique Lyndon factorization, and its optimal computation by a linear algorithm ([22,23]). The second concerns Christoffel words, a class of finite factors of Sturmian words, that are discrete approximations of straight lines. After recalling the combinatorial background and basic properties, we propose another linear time algorithm deciding convexity of polyominoes. This new purely discrete algorithm is, however, much simpler to implement and some experiments reveal that it is 10 times faster than previous linear algorithms. Furthermore, one of its main interests lies in the explicit link between combinatorics on words and discrete geometry. Since our method does not rely on geometric and vector computations, it also shows that digital convexity is much more fundamental and abstract property than general convexity.

We also consider the convex hull computation in our framework: while the classical Melkman algorithm [24] applies to any simple polygonal curve, our algorithm applies to words coding the contour of a polyomino and appears as a discrete version of it.

This paper is an extended and enhanced version of a paper presented at the 14th International Conference on Discrete Geometry for Computer Imagery (DGCI 2008) held in Lyon (France) on April 14–16, 2008 [25].

## 2. Preliminaries

A word  $w$  is a finite sequence of letters  $w_1w_2 \dots w_n$  on a finite alphabet  $\Sigma$ , that is a function  $w : [1, \dots, n] \rightarrow \Sigma$ , and  $|w| = n$  is its length. Consistently its number of  $a$  letters, for  $a \in \Sigma$ , is denoted  $|w|_a$ . The set of words of length  $n$  is denoted as  $\Sigma^n$  and the set of all finite words is  $\Sigma^*$ , the free monoid on  $\Sigma$ . The empty word is denoted  $\varepsilon$  and by convention  $\Sigma^+ = \Sigma^* \setminus \{\varepsilon\}$ . The  $k$ -th power of word  $w$  is defined by  $w^k = w^{k-1} \cdot w$  with the convention that  $w^0 = \varepsilon$ . A word is said primitive when it is not the power of a non-empty word. A period of a word  $w$  is a number  $p$  such that  $w_i = w_{i+p}$ , for all  $1 \leq i \leq |w| - p$ . The reversal of a word  $w_1w_2 \dots w_n$  is the word  $\tilde{w} = w_nw_{n-1} \dots w_2w_1$ , and a palindrome is a word such that  $w = \tilde{w}$ . The set of palindromes in  $\Sigma^*$  is denoted  $\text{PAL}(\Sigma)$ .

Given a total order  $<$  on  $\Sigma$ , the lexicographic ordering extends this order to words on  $\Sigma$  by using the following rule:

- $w < w'$  if either (i)  $w' \in w\Sigma^+$ ,
- (ii)  $w = uav$  and  $w' = ubv'$  with  $a < b, a, b \in \Sigma, u \in \Sigma^*$ .

Two words  $w, w'$  on the alphabet  $\Sigma$  are said to be conjugate, written  $w \equiv w'$ , if there exist  $u, v$  such that  $w = uv$  and  $w' = vu$ . The conjugacy class of a word is defined as the set of all its conjugates and is equivalent to the set of all circular permutations of its letters.

Let  $w$  be a finite word over the alphabet  $\{0, 1\}$ . We denote by  $\vec{w}$  the vector  $(|w|_0, |w|_1)$ . For any word  $w$ , the partial function

$\phi_w : \mathbb{N} \rightarrow \mathbb{Z} \times \mathbb{Z}$  associates to any integer  $j, 1 \leq j \leq |w|$ , the vector  $\phi_w(j) = \vec{w}_1w_2 \dots w_j$ . In other words, this map draws the word as a 4-connected path in the plane starting from the origin, going right for a letter 0 and up for a letter 1 (see Fig. 2). This extends naturally to more general paths by using the four letter alphabet  $\Sigma = \{0, 1, \bar{0}, \bar{1}\}$ , associating the letter  $\bar{0}$  to a left step and  $\bar{1}$  to a down step. This notation allows to code the border of any polyomino by a 4-letter word known as the Freeman chain code.

The lexicographic order  $<$  on points of  $\mathbb{R}^2$  or  $\mathbb{Z}^2$  is such that  $(x, y) < (x', y')$  when either  $x < x'$  or  $x = x'$  and  $y < y'$ . The convex hull of a finite set  $S$  of points in  $\mathbb{R}^2$  is the intersection of all convex sets containing these points and is denoted by  $\text{Conv}(S)$ .  $S$  being finite, it is clearly a polygon in the plane whose vertices are elements of  $S$ . The upper convex hull of  $S$ , denoted by  $\text{Conv}^+(S)$ , is the clockwise oriented sequence of consecutive edges of  $\text{Conv}(S)$  starting from the lowest vertex and ending on the highest vertex. The lower convex hull of  $S$ , denoted by  $\text{Conv}^-(S)$ , is the clockwise oriented sequence of consecutive edges of  $\text{Conv}(S)$  starting from the highest vertex and ending on the lowest vertex.

## 3. Combinatorics on words

Combinatorics on words has imposed itself as a powerful tool for the study of large number of discrete, linear, non-commutative objects. Such objects appears in almost any branches of mathematics and discrete geometry is not an exception. Traditionally, discrete geometry works on characterization and recognition of discrete objects using arithmetic approach or computational geometry. However, combinatorics on words provide mathematical tools and efficient algorithms to address this problem as already mentioned. Lothaire's books [15–17] constitute the reference for presenting a unified view on combinatorics on words and many of its applications.

### 3.1. Lyndon words

Introduced as standard lexicographic sequences by Lyndon in 1954, Lyndon words have several characterizations (see [15]). We shall define them as words being strictly smaller than any of their circular permutations.

**Definition 1.** A Lyndon word  $l \in \Sigma^+$  is a word such that  $l = uv$  with  $u, v \in \Sigma^+$  implies that  $l < vu$ .

Note that Lyndon words are always primitive. An important result about Lyndon words is the following unique factorization theorem (see Lothaire [15, Theorem 5.1.1]).

**Theorem 2.** Any word  $w \in \Sigma^+$  admits a unique factorization as a sequence of decreasing Lyndon words:

$$w = l_1^{n_1} l_2^{n_2} \dots l_k^{n_k}, \quad l_1 > l_2 > \dots > l_k, \quad (1)$$

where  $n_i \geq 1$  and  $l_i$  is a Lyndon word, for all  $i$  such that  $1 \leq i \leq k$ .

There exist several algorithms for factorizing a word  $w = w_1 w_2 \dots w_n$  into Lyndon words.

1. The naive one, which mimics the proof of existence of the factorization (see e.g. [15, Theorem 5.1.5 and its proof]) is the following:
  - L1. start with the list  $(l_1, l_2, \dots, l_n) \leftarrow (w_1, w_2, \dots, w_n)$ ;
  - L2. if  $l_i < l_{i+1}$  for some  $i$ , then  $(l_1, l_2, \dots, l_n) \leftarrow (l_1, \dots, l_{i-1}, l_i l_{i+1}, l_{i+2}, \dots, l_n)$ , and stop otherwise.
2. The second algorithm rests on the following result: let  $w = l_1 l_2 \dots l_k$  be the factorization of  $w$  into a nonincreasing product of Lyndon words. Then  $l_k$  is the smallest suffix of  $w$  for the lexicographical order (see [15, Proposition 5.1.6]).
3. The previous algorithms are not linear. A linear algorithm, which is, moreover, very subtle and elegant, was found by Duval [23]. It works by reading from left to right, with at most  $2n$  comparisons of letters (see also [26, Section 7.4]). We use this algorithm later and it is explained in full detail in Section 5.
4. Another algorithm, which turns out to be linear, rests on the concept of *suffix standardization* of the word  $w$ , that is the permutation  $\sigma$  on  $[1, 2, \dots, n]$  such that the  $j$ -th suffix of  $w$  for the lexicographical order is  $w_i w_{i+1} \dots w_n$ , where  $\sigma(i) = j$ . For example, let

$$w = 101101001.$$

Then its suffix-standard permutation is

$$\sigma = 849736125,$$

since the suffixes of  $w$  in increasing order are 001, 01, 01001, 01101001, 1, 1001, 101001, 101101001, 1101001. Now, consider the sequence of *left-to-right minima* of  $\sigma$ : a left-to-right minimum of  $\sigma$  is an index  $k$  such that  $\sigma(i) > \sigma(k)$  for any  $i < k$ . In the example, the left-to-right minima are  $k = 1, 2, 5, 7$  corresponding to the values  $\sigma(k) = 8, 4, 3, 1$ . Then the Lyndon factorization of  $w$  is obtained by cutting  $w$  just before each left-to-right minimum of its suffix-standard permutation  $\sigma$ :

$$\sigma = \underline{8} \underline{4} \underline{9} \underline{7} \underline{3} \underline{6} \underline{1} \underline{2} \underline{5},$$

$$w = (1)(011)(01)(001).$$

This last algorithm is linear: indeed, suffix standard permutation is equivalent to the *suffix array* of  $w$ , which may be computed in linear time [27]. Moreover, the sequence of left-to-right minima of a permutation is clearly computable in linear time. This algorithm was known to Duval and Lefebvre ([28, p. 250]), who attribute it to Crochemore [27]. Note that suffix standardization may be used also to build the tree (or nonassociative word) associated to a Lyndon word, hence the associated Lie polynomial, which gives the Lyndon basis of the free Lie algebra (see [29]). Finally, note that the suffix standardization, when applied to a Christoffel word  $w$  of length  $n$ , gives the Cayley graph of  $\mathbb{Z}/n\mathbb{Z}$  which generated it. For example for  $w = 0010101$ , we obtain  $\sigma = 1473625$ , and  $w$  is recovered by reading cyclically  $\sigma$  and writing 0 for an ascent and 1 for a descent (see [30]).

### 3.2. Christoffel words

Introduced by Christoffel [31] in 1875 and reinvestigated recently by Borel and Laubie [32] who pointed out some of their geometrical properties, Christoffel words reveal an important link between combinatorics on words and discrete geometry.

This first definition of Christoffel word, borrowed from Berstel and de Luca [33], highlights their geometrical properties and helps to understand the main result of this work stated in Proposition 7. Let  $\Sigma = \{0, 1\}$ . The *slope* of a word is a map  $\rho : \Sigma^* \rightarrow \mathbb{Q} \cup \{\infty\}$  defined by

$$\rho(\varepsilon) = 1, \quad \rho(w) = |w|_1 / |w|_0 \quad \text{for } w \neq \varepsilon.$$

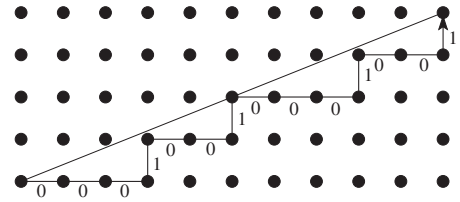


Fig. 3. The path coded by the Christoffel word  $w = 00010010001001$  staying right under the straight line of slope  $r = 2/5$ .

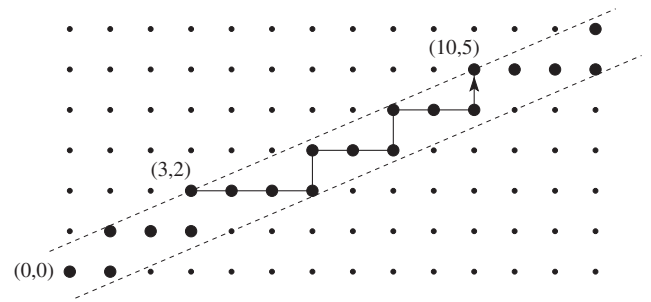


Fig. 4. The 4-connected straight line  $D_{3,7,-5} = \{(x,y) \in \mathbb{Z}^2 \mid -5 \leq 3x - 7y < 5\}$  and the path associated to the primitive Christoffel word of slope  $3/7$  joining two upper leaning points.

It is assumed that  $1/0 = \infty$ . It corresponds to the slope of the straight line joining the first and the last point of the path coded by  $w$ . For each  $k$ ,  $1 \leq k \leq |w|$ , we define the set

$$\delta_k(w) = \{u \in \Sigma^k \mid \rho(u) \leq \rho(w)\},$$

of words of length  $k$  whose slope is not greater than the slope of  $w$ . The quantity

$$\mu_k(w) = \max\{\rho(u) \mid u \in \delta_k(w)\}$$

is used to define Christoffel words (see Fig. 3).

**Definition 3.** A word  $w$  is a Christoffel word if for any prefix  $v$  of  $w$  one has  $\rho(v) = \mu_{|v|}(w)$ .

A direct consequence of this definition is that given a Christoffel word  $u^r = v^s$  for some  $r, s \geq 1$ , both words  $u$  and  $v$  are also Christoffel words. From an arithmetical point of view, discrete lines (see Reveillès [34]) are defined by a pair of Diophantine inequalities.

**Definition 4.** Three integers  $a, b, c$  such that  $a$  and  $b$  are relatively prime define the 4-connected straight line  $D_{a,b,c}$  of slope  $a/b$  as the set:

$$D_{a,b,c} = \{(x,y) \in \mathbb{Z}^2 \mid c \leq ax - by < c + |a| + |b|\}.$$

Among the points of the set  $D_{a,b,c}$  those which verify the equation  $ax - by = c$  are called the *upper leaning points*, and a Christoffel word is a connected subset of a 4-connected straight line joining upper leaning points (see Fig. 4).

The next properties are taken from Borel and Laubie [32].

**Property 1 (Borel and Laubie [32]).** Christoffel words satisfy the following properties:

- (i) All primitive Christoffel words are Lyndon words.
- (ii) Given  $c_1$  and  $c_2$  two Christoffel words,  $c_1 < c_2$  iff  $\rho(c_1) < \rho(c_2)$ .

(iii) Given  $r \in \mathbb{Q}^+ \cup \{\infty\}$ , let  $F_r$  be the set of words  $w$  on the alphabet  $\{0, 1\}$  such that  $\rho(v) \leq r$  for all non-empty prefix  $v$  of  $w$ .  $F_r$  corresponds to the words coding paths starting from  $(0,0)$  and staying below the Euclidean straight line of slope  $r$ . Among these paths, those being the closest ones to the line and having their last point located on it are Christoffel words.

Originally Christoffel [31] defined these words as follows. Given  $k < n$  two relatively prime numbers, a (primitive) Christoffel word  $w = w_1 w_2 \dots w_n$  is defined by

$$w_i = \begin{cases} 0 & \text{if } r_{i-1} < r_i, \\ 1 & \text{if } r_{i-1} > r_i, \end{cases}$$

where  $r_i$  is the remainder of  $(ik) \bmod n$ . The slope of this word is then  $k/(n-k)$ . For example if  $k=5$  and  $n=8$ , we have the following values

$i$	0	1	2	3	4	5	6	7	8
$(ik) \bmod n$	0	5	2	7	4	1	6	3	0
$w_i$		0	1	0	1	1	0	1	1

and the Christoffel word is  $w = 01011011$ .

In [33] Berstel and de Luca provided an alternative characterization of primitive Christoffel words. Let CP be the set of primitive Christoffel words, PAL the set of palindromes and PER the set of words  $w$  having two periods  $p$  and  $q$  such that  $|w| = p + q - 2$ . The following relations hold:

$$CP = (\{0, 1\} \cup 0 \cdot \text{PER} \cdot 1) \subset (\{0, 1\} \cup 0 \cdot \text{PAL} \cdot 1).$$

These properties of Christoffel words are useful for deciding if a given word is Christoffel or not.

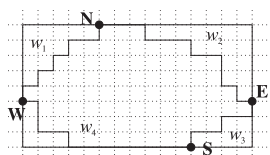
#### 4. Digital convexity

There are several (more or less) equivalent definitions of digital convexity, depending on whether or not one asks the digital set to be connected. We say that a finite 4-connected subset  $S$  of  $\mathbb{Z}^2$  is *digitally convex* if it is Gauss digitization of a convex subset  $X$  of the plane, i.e.  $S = \text{Conv}(X) \cap \mathbb{Z}^2$ .

The *border*  $\text{Bd}(S)$  of  $S$  is the 4-connected path that follows clockwise the pixels of  $S$  that are 8-adjacent to some pixels not in  $S$ . This path is a word in  $\{0, 1, \bar{0}, \bar{1}\}^*$ , starting by convention from the lowest point and in clockwise order.

**Definition 5.** A word  $w$  is said to be *digitally convex* if it is conjugate to the word coding the border of some finite 4-connected digitally convex subset of  $\mathbb{Z}^2$ .

Note that implicitly, a digitally convex word is necessarily closed. Now, every closed path coding the boundary of a region is contained in a smallest rectangle such that its contour word  $w$  may be factorized as follows. Four extremal points are defined by their coordinates.



W is the lowest on the Left side;  
 N is the leftmost on the Top side;  
 E is the highest on the Right side;  
 S is the rightmost on the Bottom side;  
 So that  $w \equiv w_1 w_2 w_3 w_4$ .

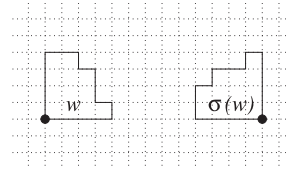
This factorization is called the *standard decomposition*. We say that a word  $w_1$  in  $\{0, 1\}^*$  is *NW-convex* iff there are no integer points

between the upper convex hull of the points  $\{\phi_{w_i}(j)\}_{j=1 \dots |w_i|}$  and the path  $w_i$ .

Define the counterclockwise  $\pi/2$  circular rotation by

$$\sigma : (0, 1, \bar{0}, \bar{1}) \mapsto (1, \bar{0}, \bar{1}, 0),$$

as illustrated in the following example:



$$w = 111100\bar{1}0\bar{1}\bar{1}0\bar{1}\bar{0}\bar{0}\bar{0}\bar{0},$$

$$\sigma(w) = \bar{0}\bar{0}\bar{0}\bar{1}1010010\bar{1}\bar{1}\bar{1}\bar{1}.$$

Then  $w_2$  in  $\{0, \bar{1}\}^*$  is *NE-convex* iff  $\sigma(w_2)$  is *NW-convex*, and more generally, for the standard decomposition  $w \equiv w_1 w_2 w_3 w_4$  we have

$$w_i \text{ is convex} \iff \sigma^{i-1}(w_i) \text{ is NW-convex.}$$

Clearly, the convexity of  $w$  requires the convexity of each  $w_i$  for  $i = 1, 2, 3, 4$  and we have the following obvious property.

**Proposition 6.** Let  $w \equiv w_1 w_2 w_3 w_4$  be the standard decomposition of a polyomino. Then  $w$  is *digitally convex* iff  $\sigma^{i-1}(w_i)$  is *NW-convex*, for all  $i$ .

Let  $\text{Alph}(w)$  be the set of letters of  $w$ . Observe that if for some  $i$ ,  $w_i$  contains more than 2 letters, that is if  $\text{Alph}(\sigma^{i-1}(w_i)) \not\subseteq \{0, 1\}$ , then  $w$  is not *digitally convex*.

We are now in position to state the main result which is used in Section 5 to design an efficient algorithm for deciding if a word is convex.

**Proposition 7.** A word  $v$  is *NW-convex* iff its unique Lyndon factorization  $l_1^{n_1} l_2^{n_2} \dots l_k^{n_k}$  is such that all  $l_i$  are primitive Christoffel words.

In order to prove Proposition 7, we first need the following lemmas.

**Lemma 8.** Let  $v \in \{0, 1\}^*$  be a word coding an *NW-convex* path and let  $e$  be one of the edges of its convex hull. The factor  $u$  of  $v$  corresponding to the segment of the path determined by  $e$  is a Christoffel word.

This is a direct consequence of Property 1(iii) since both the starting and ending points of an edge of the convex hull of a discrete figure are necessarily part of its border. Note that we also have by the same Property 1(iii) that:

**Lemma 9.** Any Christoffel word is *NW-convex*.

We may now proceed to the proof of Proposition 7.

**Proof.** Let  $v$  be a word coding a *NW-convex* path and let the ordered sequence of edges  $(e_1, e_2, \dots, e_k)$  be the border of its convex hull. For each  $i$  from 1 to  $k$ , let  $u_i$  be the factor of  $v$  determined by the edge  $e_i$  so that  $v = u_1 u_2 \dots u_k$ . Let  $l_i$  be the unique primitive word such that  $u_i = l_i^{n_i}$ . By Lemma 8,  $u_i$  is a Christoffel word, which implies that  $l_i$  is a primitive Christoffel word. By Property 1(i),  $l_i$  is also a Lyndon word. Now, since  $(e_1, e_2, \dots, e_k)$  is the convex hull of  $w$ , it follows that the slope  $s_i$  of the edge  $e_i$  is greater than the slope  $s_{i+1}$  of the edge  $e_{i+1}$  leading to the following inequality:

$$\rho(l_i) = \rho(u_i) = s_i > s_{i+1} = \rho(u_{i+1}) = \rho(l_{i+1}).$$

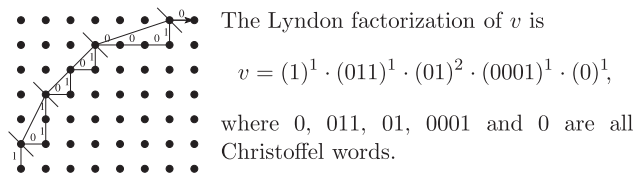


By Property 1(ii) we conclude that  $l_i > l_{i+1}$ . Thus,  $l_1^{m_1} l_2^{m_2} \dots l_k^{m_k}$  is the unique factorization  $w$  as a decreasing sequence of Lyndon words.

Conversely, let  $v \in \{0, 1\}^+$  be such that its Lyndon factorization  $l_1^{m_1} l_2^{m_2} \dots l_k^{m_k}$  consists of primitive Christoffel words. For each  $i$  from 1 to  $k$ , let  $e_i$  be the segment joining the starting point of the path coded by  $l_i^{m_i}$  to its ending point. We shall show that  $(e_1, e_2, \dots, e_k)$  is the upper convex hull of  $\phi_v$ . Since  $l_i^{m_i}$  is a Christoffel word, Lemma 9 ensures that the path always stays below the segment. By hypothesis,  $l_i > l_{i+1}$ . Using the same argument as before we have that the slope of  $e_i$  is strictly greater than the slope of  $e_{i+1}$ . We have just built a sequence of edges whose vertices are points of  $\phi_v$ , which stay above it, and with decreasing slopes.  $(e_1, e_2, \dots, e_k)$  is thus exactly the upper convex hull of  $\phi_v$ .

Now, for all  $i = 1, \dots, k$ , since  $l_i^{m_i}$  is Christoffel (Lemma 9), there are no integer points lying between  $e_i$  and  $\phi_{l_i^{m_i}}$ . It follows that there are no integer points between  $\phi_v$  and its upper convex hull, which means  $v$  is NW-convex.  $\square$

For example, consider the following NW-convex path  $v = 1011010100010$ .



It is interesting to note that many results in the theory of Sturmian word have been obtained by using geometrical properties. This close relation between the two domains raises a number of combinatorial problems such as enumeration of convex words of given length. Another interesting property is the factorial closure of digitally convex words: while a geometrical proof is rather easy to obtain, it begs for a purely combinatorial proof. A formal language characterization would also be of interest. These more theoretical issues goes beyond the scope of this paper and will be addressed in a future work.

### 5. Algorithm to check word convexity

We give now a linear time algorithm checking digital NW-convexity for paths encoded on  $\{0, 1\}$ . This is achieved in two steps: first we compute the prefix  $l_1^{m_1}$  of the word  $w$  using Fredricksen and Maiorana algorithm [22] (rediscovered by Duval [23]), and then Algorithm 2 below checks that Lyndon factor  $l_1 \in CP$ . Iterating this process on all Lyndon factors of  $w$  provides the answer whether all  $l_i$  are primitive Christoffel words.

Given a word  $w \in \Sigma^*$  whose Lyndon factorization is  $w = l_1^{m_1} l_2^{m_2} \dots l_k^{m_k}$ , the following algorithm, taken from Lothaire's book [17], computes the pair  $(l_1, n_1)$ .

#### Algorithm 1. FirstLyndonFactor

**Input**  $w \in \Sigma^n$ ; **Output**  $(l_1, n_1)$ ;  
 1:  $(i, j) \leftarrow (1, 2)$ ;  
 2: **while**  $j \leq n$  **and**  $w_i \leq w_j$  **do**  
 3:   **if**  $w_i < w_j$  **then**  
 4:      $i \leftarrow 1$ ;  
 5:   **else**  
 6:      $i \leftarrow i + 1$ ;  
 7:   **end if**  
 8:    $j \leftarrow j + 1$ ;  
 9: **end while**  
 10: **return**  $(w_1 w_2 \dots w_{j-i}, \lfloor (j-1)/(j-i) \rfloor)$ ;

The underlying principle of the algorithm is to find the longest prefix  $u$  of  $w$  such that  $u$  is smaller than all its suffixes. Assume then that at some point of execution we obtained the factorization  $w = l^m p v$  where  $l$  is a Lyndon factor,  $n \geq 1$  and  $p$  is a non-empty prefix of  $l$ . Translating this relation into the values of  $i$  and  $j$  gives  $j - i = |l|$ ,  $n = \lfloor (j-1)/(j-i) \rfloor$  and  $j = |l^m p|$ . Then  $l = p x q$  for some suffix  $q$  and some letter  $x$ . Three cases may occur:

1. if  $w_{i+1} < w_{j+1}$  then  $l' = l^m p w_{i+1}$  is a Lyndon factor and  $(l', 1)$  becomes the current value of the pair  $(l_1, n_1)$ ;
2. if  $w_{i+1} = w_{j+1}$  then  $p$  is replaced by  $p w_{j+1}$ ;
3. if  $w_{i+1} > w_{j+1}$  then algorithm stops and returns  $(l, n)$ .

Clearly this algorithm is linear in  $n_1 |l_1|$ , and hence Lyndon factorization of  $w$  is computed in linear time with respect to  $|w|$ . On the other hand, given a primitive word  $w \in \{0, 1\}^*$ , checking whether it is a Christoffel word is also achieved in linear time using the original definition from [31] (see example at the end of Section 3).

- C1. Compute  $k = |w|_1$  and  $n = |w|$ ;
- C2. compute successively  $r_1, r_2, \dots, r_{\lfloor n/2 \rfloor}$  where  $r_i = (ik) \bmod n$  and check that  $w_i$  satisfies the definition.

Note that since

$$CP \setminus \{0, 1\} \subset 0 \cdot PAL \cdot 1,$$

the second half of  $w$  is checked at the same time by verifying that  $w_i = w_{n-i+1}$  for  $2 \leq i \leq \lfloor n/2 \rfloor$ . This yields the following algorithm.

#### Algorithm 2. IsChristoffelPrimitive

**Input**  $w \in \Sigma^n$   
 1:  $k \leftarrow |w|_1$ ;  $i \leftarrow 1$ ;  $r \leftarrow k$ ;  
 2:  $rejected := \text{not}(w_1 = 0 \text{ and } w_n = 1)$ ;  
 3: **while not**  $rejected$  **and**  $i < \lfloor n/2 \rfloor$  **do**  
 4:    $i \leftarrow i + 1$ ;  $r' \leftarrow r + k \bmod n$ ;  
 5:   **if**  $r < r'$  **then**  
 6:      $rejected \leftarrow \text{not}(0 = w_i \text{ and } 0 = w_{n-i+1})$ ;  
 7:   **else**  
 8:      $rejected \leftarrow \text{not}(1 = w_i \text{ and } 1 = w_{n-i+1})$ ;  
 9:   **end if**  
 10:    $r \leftarrow r'$ ;  
 11: **end while**  
 12: **return not**  $(rejected)$ ;

Combining these two algorithms provides this following algorithm that checks NW-convexity of a given word  $w \in \Sigma^*$ .

#### Algorithm 3. IsNW-Convex

**Input**  $w \in \Sigma^n$ ;  
 1:  $index \leftarrow 1$ ;  $rejected \leftarrow false$ ;  
 2: **while not**  $rejected$  **and**  $index \leq n$  **do**  
 3:    $(l_1, n_1) \leftarrow \text{FirstLyndonFactor}(w_{index} w_{index+1} \dots w_n)$ ;  
 4:    $rejected \leftarrow \text{not}(\text{IsChristoffelPrimitive}(l_1))$ ;  
 5:    $index \leftarrow index + n_1 |l_1|$ ;  
 6: **end while**  
 7: **return not**  $(rejected)$ ;

Eq. (1) ensures that  $\sum_i |l_i| \leq |w|$  so that this algorithm is linear in the length of the word  $w$ .

According to Proposition 6, we have to check convexity for each term in the standard decomposition  $w \equiv w_1 w_2 w_3 w_4$ . Instead of applying the morphism  $\sigma$  to each  $w_i$ , which requires a linear pre-processing, it suffices to implement a more general version of

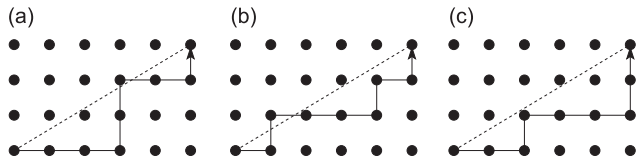


Fig. 5. Given  $\Phi(0) = -1$  and  $\Phi(1) = +1$ , three paths coded by words from the set  $C_{-1/4}$ . The word in (c) is also in  $B_{-1/4}$  while in (a) the prefix  $00011 \in C_{-1/5}$  and in (b) the prefix  $01 \in C_0$ .

Algorithms 1 and 2, with the alphabet and its order relation as a parameter. For that purpose, ordered alphabets are denoted as lists  $Alphabet = [\alpha, \beta]$  with  $\alpha < \beta$ .

The resulting algorithm is the following where we assume that  $w$  is the contour of a non-empty polyomino.

**Algorithm 4.** IsConvex

```

Input  $w \in \Sigma^n$ 
0: Compute the standard decomposition  $w \equiv w_1 w_2 w_3 w_4$ ;
1:  $rejected \leftarrow false$ ;  $i \leftarrow 1$ ;  $Alphabet \leftarrow [0, 1]$ ;
2: while not( $rejected$ ) and  $i \leq 4$  do
3:    $u \leftarrow w_i$ ;  $k \leftarrow |u|$ ;
4:   if  $Alph(u) \subseteq Alphabet$  then
5:      $index \leftarrow 1$ ;
6:     while not( $rejected$ ) and  $index \leq k$  do
7:        $(l_1, n_1) \leftarrow FirstLyndonFactor([u_{index} u_{index+1} \dots u_k],$ 
          $Alphabet)$ ;
8:        $rejected \leftarrow not(IsChristoffelPrimitive(l_1, Alphabet))$ ;
9:        $index \leftarrow index + n_1 |l_1|$ ;
10:    end while
11:   else
12:      $rejected \leftarrow true$ ;
13:   end if
14:    $i \leftarrow i + 1$ ;  $Alphabet \leftarrow [\sigma^{i-1}(0), \sigma^{i-1}(1)]$ ;
15: end while
16: return not( $rejected$ );
    
```

**Remark.** For more efficiency, testing that the letters of  $w_i$  belong to the set  $\sigma^{i-1}(\{0, 1\}^*)$  (Line 4) can be embedded within the algorithm *FirstLyndonFactor* or in the computation of the standard decomposition (Line 0) and returning an exception.

**6. Computing the convex hull**

Given a word  $w$  on the alphabet  $\{0, 1\}$ , the NW-convex hull of the path coded by  $w$  is given by what is called a Spitzer factorization of  $w$  (see [15, page 95]). Given a morphism  $\Phi : \{0, 1\}^* \rightarrow \mathbb{R}$  where  $\mathbb{R}$  is seen as an additive monoid, then for all  $r \in \mathbb{R}$  let

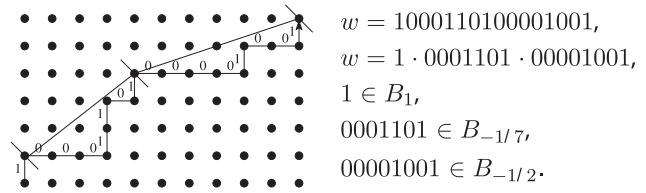
$$C_r = \{v \in \{0, 1\}^+ \mid \Phi(v) = r|v|\} \quad \text{and} \quad B_r = C_r \setminus \left( \bigcup_{s \geq r} C_s \cdot \{0, 1\}^+ \right).$$

We provide an example of this definition in Fig. 5. The following theorem is attributed by Lothaire to Spitzer [35], where it appears in a different context.

**Theorem 10 (Spitzer, 1956).** Every word  $w \in \{0, 1\}^*$  admits a unique factorization as  $w = b_1 b_2 \dots b_k$ , where  $b_i \in B_{r_i}$  for  $i = 1, 2, \dots, k$  and if  $i \neq k$  then  $r_i \geq r_{i+1}$ .

By taking the morphism  $\Phi$  defined by  $\Phi(0) = -1$  and  $\Phi(1) = +1$ , the separation  $b_i \cdot b_{i+1}$  between each pair of consecutive factors such that  $r_i > r_{i+1}$  corresponds exactly to the vertices of the convex hull of

the path coded by  $w$ . As an example consider the word  $w$  as shown below:



More precisely the algorithm showing the fusion  $b_i b_{i+1}$  is given by

$$w = (1) \cdot (0(0((01)1))) (01) \cdot ((0(0(0(01)))) (0(01))).$$

Note that the slope of a word  $v \in B_r$  is  $\rho(v) = (1+r)/(1-r)$ , so that if  $v \in B_r$  and  $v' \in B_{r'}$  then

$$r < r' \iff \rho(v) < \rho(v').$$

As in the case of Viennot factorizations [36], the family of sets  $(B_r)_{r \geq 0}$ , with  $\Phi(0) = -1$  and  $\Phi(1) = +1$ , has the following obvious property.

**Property 2.** Let  $u \in B_r$  and  $v \in B_t$ , if  $r < t$  then the word  $uv$  belongs to a  $B_s$  for some  $r < s < t$ .

As a consequence, the Spitzer factorization of a word  $w \in \{0, 1\}^n$  can be computed as follows:

- S1. start with the list  $(b_1, b_2, \dots, b_n) \leftarrow (w_1, w_2, \dots, w_n)$ ;
- S2. if  $\rho(b_i) < \rho(b_{i+1})$  for some  $i$  then  $(b_1, b_2, \dots, b_k) \leftarrow (b_1, \dots, b_{i-1}, b_i b_{i+1}, b_{i+2}, \dots, b_k)$ , and stop otherwise.

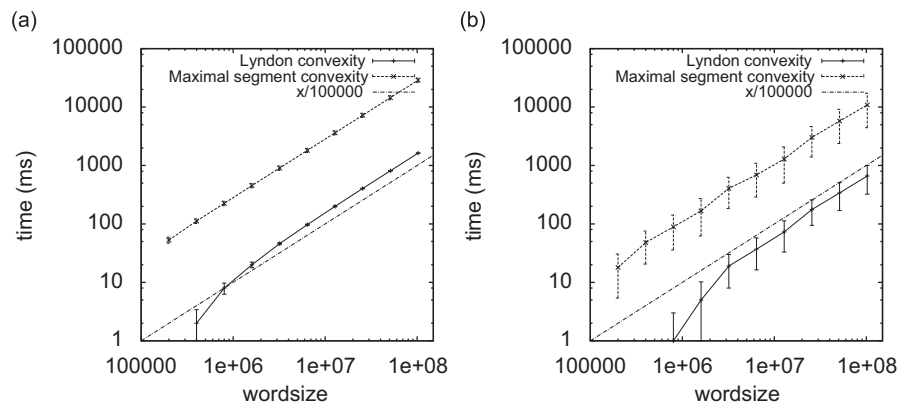
It is clear that if the number of occurrences of 0s and 1s of each  $b_i$  is stored then the factorization is computed in linear time. Note that if this computation is done using a stack, then the algorithm obtained appears as a discrete version of the classical Melkman's algorithm [24] for the construction of the convex hull of a polygon in linear time (see [37] for a historical review on the subject). Of course, the algorithm presented above only applies to words coding the north-west part of a  $h\nu$ -convex polyomino while Melkman's algorithm applies to any simple polyline. Note also that Ehrenfeucht, Haemer and Haussel used this approach in [38] in order to elaborate an optimal algorithm to compute the convex hull of an ordered list of points  $T = (x_0, y_0), (x_1, y_1), \dots, (x_n, y_n)$ , with distinct  $x$  coordinates and sorted in increasing order of this coordinate.

For sake of completeness we provide a general algorithm that computes the north-west part of the convex hull of any polyomino.

**Algorithm 5.** NWConvexHull

```

Input  $w \in \{0, 1, \bar{0}, \bar{1}\}^*$  /* the non self-intersecting path from W to N */
Type  $Subword = \text{struct}$  {  $i$  : integer /* position */ /  $a$  : integer /* vertical displacement */ /  $b$  : integer /* horizontal displacement */ / }
Var  $P$  : Stack of Subword  $u, v$  : Subword
1: for  $i$  from 1 to  $n$  do
2:    $u.i \leftarrow i$ ;
3:   case  $w_i$  in
4:     0:  $u.a \leftarrow 0$ ;  $u.b \leftarrow 1$ ; break
5:     1:  $u.a \leftarrow 1$ ;  $u.b \leftarrow 0$ ; break
6:      $\bar{0}$ :  $u.a \leftarrow 0$ ;  $u.b \leftarrow -1$ ; break
7:      $\bar{1}$ :  $u.a \leftarrow -1$ ;  $u.b \leftarrow 0$ ; break
8:   end case
9:    $l \leftarrow true$ ;
    
```



**Fig. 6.** Timing comparison of the digital convexity tests (maximal segments and Lyndon factorization). Test shapes are ellipses of increasing size (abscissa represents the contour word length). For each size, a hundred different axis ratios are tested and the timings are averaged. (a) summarizes the timings on these ellipses, where each time the convexity test is positive (time in ms and standard deviation). (b) also summarizes the timings but one 0 has been swapped with a 1, both letters at a randomly chosen place in the contour word: convexity test is then negative. Experiments have been runned on a 2.53 GHz Pentium 4 with cache 512Kb, code written in C++ and compiled with GCC v4.1.2. Computation time decreases when the shape is no more convex, for both techniques. Speedup ratio for Lyndon technique is identical.

```

10:   while l and (P is not empty) do
11:     v ← top(P);
12:     if v.a * u.b ≤ v.b * u.a then /* if vector u is to the left of
vector v */
13:       u.i ← v.i;
14:       u.a ← u.a + v.a; /* concatenate u and v */
15:       u.b ← u.b + v.b;
16:       pop(P);
17:     else l ← false;
18:     end if
19:   end while
18:   push(P, u);
20: end for
21: return P /* the stack contains the convex hull edges read from
N to W */

```

## 7. Concluding remarks

The implementation of our algorithm to check digital convexity was compared to the method of Debled-Rennesson et al. [9], implemented in linear time with the optimization of [10,11]. The results (see Fig. 6) showed that our technique was 10 times faster than the technique of maximal segments.

This speedup is partially due to the fact that computing maximal segments provides more geometrical informations while testing convexity is simpler. Nevertheless, our algorithm is much simpler conceptually and suggests that the notion of digital convexity might be a more fundamental concept than what is usually perceived. The fact that the combinatorial approach delivers such an elegant algorithm begs for a systematic study of the link between combinatorics on words and discrete geometry. In particular, the palindromic structure of Christoffel words allows to avoid part of the arithmetic calculation. Among the many problems that can be addressed with this new approach, we hope to develop a factorization providing the convex hull using mostly combinatoric properties. The one presented in Section 6 relies completely on arithmetic computations which explains the similarities with previously known convex hull computation techniques.

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